Appendix A – The Practice will share patient information with these organisations where there is a legal basis to do so.

Activity	Rationale
Commissioning and contractual purposes Planning Quality and Performance	Purpose – Anonymous data is used by the Integrated Care Board (ICB) for planning, performance and commissioning purposes, as directed in the practices contract, to provide services as a public authority.
	 Legal Basis – Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
	Patients may opt out of having their personal confidential data used for Planning or research. Please contact your surgery to apply a Type 1 Opt out or logon to <u>https://www.nhs.uk/your-nhs- data-matters/manage-your-choice/</u> to apply a National Data Opt Out
	Processor – Surrey Heartlands ICB
Summary Care Record Including additional information	Purpose – The NHS in England uses a national electronic recordcalled the Summary Care Record (SCR) to support patientcare. It contains key information from your GP record. YourSCR provides authorised healthcare staff with faster, secureaccess to essential information about you in an emergency orwhen you need unplanned care, where such informationwould otherwise be unavailable.
	 Legal Basis – Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
	Patients have the right to opt out of having their information shared with the SCR by completion of the form which can be downloaded <u>here</u> and returned to the practice. Please note that by opting out of having your information shared with the Summary Care Record could result in a delay to care that may be required in an emergency. Processor – NHS England
Research	Purpose – We may share anonymous patient information with research companies for the purpose of exploring new ways of providing healthcare and treatment for patients with certain conditions. This data will not be used for any other purpose.

	Where personal confidential data is shared your consent will be required.
	Where you have opted out of having your identifiable information shared for this Planning or Research your information will not be shared.
	Legal Basis –
	 Articles 6(1)(a) and 9(1)(a) – explicit consent; or Article 6(1)(c) (where we are <i>legally obligated</i> to share your personal data) for your standard personal data and Article 9(2)(j) (scientific research) for your health data.
	Where identifiable data is required for research, patient consent will be needed, unless there is a legitimate reason under law to do so or there is support under the Health Service (Control of Patient Information Regulations) 2002 ('section 251 support') applying via the Confidentiality Advisory Group in England and Wales.
	Sharing of aggregated non identifiable data is permitted.
	Processor – Research Organisation
Individual Funding Requests	Purpose – We may need to process your personal information where we are required to fund specific treatment for you for a particular condition that is not already covered in our standard NHS contract.
	The clinical professional who first identifies that you may need the treatment will explain to you the information that is needed to be collected and processed to assess your needs and commission your care; they will gain your explicit consent to share this. You have the right to withdraw your consent at any time but this may affect the decision to provide individual funding.
	Legal Basis –
	 Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
	Data processor – Surrey Heartlands ICB
Safeguarding Adults	Purpose – We will share personal confidential information with the safeguarding team where there is a need to assess and evaluate any safeguarding concerns and to protect the safety of individuals.
	Consent is not required to share information for this purpose.

	Legal Basis – Direct Care under UK GDPR:
	• Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of
	official authority'; and
	 Article 9(2)(h) 'necessary for the purposes of
	preventative or occupational medicine
Safeguarding Children	Data Processor – Surrey Heartlands ICB, Social Services, Surrey CC Purpose – We will share children's personal information where
	there is a need to assess and evaluate any safeguarding concerns and to protect the safety of children.
	Legal Basis –
	 Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and
	• Article 9(2)(h) 'necessary for the purposes of
	preventative or occupational medicine'
	Consent may not be required to share this information.
	Data Processor – Surrey Heartlands ICB, Social Services, Surrey CC
Risk Stratification –	Purpose - 'Risk stratification for case finding' is a process for
Preventative Care	identifying and managing patients who have or may be at-risk
	of health conditions (such as diabetes) or who are most likely
	to need healthcare services (such as people with frailty). Risk stratification tools used in the NHS help determine a person's
	risk of suffering a particular condition and enable us to focus
	on preventing ill health before it develops.
	Information about you is collected from a number of sources
	including NHS Trusts, GP Federations and your GP Practice. A
	risk score is then arrived at through an analysis of your de- identified information. This can help us identify and offer you
	additional services to improve your health.
	If you do not wish information about you to be included in
	any risk stratification programmes, please let us know. We
	can add a code to your records that will stop your information
	from being used for this purpose. Please be aware that this
	may limit the ability of healthcare professionals to identify if you have or are at risk of developing certain serious health
	conditions.
	Type of Data –
	Identifiable/Pseudonymised/Anonymised/Aggregate Data
	Legal Basis –

Public Health Screening programmes (identifiable) Notifiable disease information (identifiable) Smoking cessation (anonymous) Sexual health (anonymous) Vaccination Programmes	 Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine' Processors – CQC, NHS England, NHS Hospitals, Community care services, Surrey Heartlands ICB Purpose – Personal identifiable and anonymous data is shared. The NHS provides national screening programmes so that certain diseases can be detected at an early stage. These currently apply to bowel cancer, breast cancer, aortic aneurysms and diabetic retinal screening service to name a few. The law allows us to share your contact information, and certain aspects of information relating to the screening with Public Health England so that you can be appropriately invited to the relevant screening programme. More information can be found at: https://www.gov.uk/topic/population-screeningprogrammes [Or insert relevant link] or speak to the practice.
	 Patients may not opt out of having their personal information shared for Public Health reasons. Patients may opt out of being screened at the time of receiving an invitation. Legal Basis – Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of
	Data Processors – Public Health Surrey CC
Direct Care NHS Trusts Community Providers Pharmacies Enhanced care providers Nursing Homes Other Care Providers	Purpose – Personal information is shared with other secondary care trusts and providers in order to provide you with individual direct care services. This could be hospitals or community providers for a range of services, including treatment, operations, physio, and community nursing, ambulance service.
	Legal Basis - The processing of personal data in the delivery of direct care and for providers' administrative purposes in this surgery and in support of direct care elsewhere is supported under the following:
	 Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine

	Processors – Royal Surrey NHS Trusts, SE Coast Ambulance service, Surrey and Borders Partnership Trust, Surrey and Sussex Hospital Trust, local care homes
Care Quality Commission	 Purpose – The CQC is the regulator for the English Health and Social Care services to ensure that safe care is provided. They will inspect and produce reports back to the GP practice on a regular basis. The Law allows the CQC to access identifiable data. More detail on how they ensure compliance with data protection
	law (including UK GDPR) and their privacy statement is <u>available</u> on our website: <u>https://www.cqc.org.uk/about-us/our-</u> policies/privacy-statement
	 Legal Basis – Article 6(1)(e) 'processing is necessary for compliance with a legal obligation'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine
	Processors – Care Quality Commission
Population Health Management	 Purpose – Health and care services work together as 'Integrated Care Systems' (ICS) and are sharing data in order to: Understand the health and care needs of the care system's population, including health inequalities Provide support to where it will have the most impact Identify early actions to keep people well, not only focusing on people in direct contact with services, but looking to join up care across different partners.
	Type of Data – Identifiable/Pseudonymised/Anonymised/Aggregate Data. NB only organisations that provide your care will see your identifiable data.
	 Legal Basis – Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine
	Data Processors - Optum
Payments	Purpose - Contract holding GPs in the UK receive payments from their respective governments on a tiered basis. Most of the income is derived from baseline capitation payments made according to the number of patients registered with the practice on quarterly payment days. These amounts paid per patient per quarter varies according to the age, sex and other demographic details for each patient. There are also graduated payments made according to the practice's achievement of certain agreed

	national quality targets known as the Quality and Outcomes Framework (QOF), for instance the proportion of diabetic patients who have had an annual review. Practices can also receive payments for participating in agreed national or local enhanced services, for instance opening early in the morning or late at night or at the weekends. Practices can also receive payments for certain national initiatives such as immunisation programs and practices may also receive incomes relating to a variety of non- patient related elements such as premises. Finally there are short term initiatives and projects that practices can take part in. Practices or GPs may also receive income for participating in the education of medical students, junior doctors and GPs themselves as well as research. In order to make patient based payments basic and relevant necessary data about you needs to be sent to the various payment services. The release of this data is required by English laws.
	 Legal Basis – Article 6(1)(c) 'processing is necessary for compliance with a legal obligation to which the controller is subject'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'.
	Data Processors – NHS England, ICB, Public Health
Patient Record data base	Purpose – Your medical record will be processed in order that a data base can be maintained, this is managed in a secure way and there are robust processes in place to ensure your medical record is kept accurate, and up to date. Your record will follow you as you change surgeries throughout your life. Closed records will be archived by NHS England
	 Legal Basis – Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'.
	Processor – EMIS and PCSE
Medical reports Subject Access Requests	Purpose – Your medical record may be shared in order that:
	Solicitors/persons acting on your behalf can conduct certain actions as instructed by you.
	Insurance companies seeking a medical reports where you have applied for services offered by then can have a copy to your medical history for a specific purpose.
	 Legal Basis – Article 6(1)(a) – consent for personal data; and

	 Article 9(2)(a) – explicit consent for special-category data.
	Processor – Solicitors and insurance organisations
Medicines Management Team Medicines Optimisation	Purpose – your medical record is shared with the medicines management team pharmacists, in order that your medication can be kept up to date and any necessary changes to medication can be implemented.
	 Legal Basis – Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
	Processor – Surrey Heartlands ICB
GP Federation District Nurses, My care my way, Phlebotomy, Vaccinations	Purpose – Your medical record will be shared with the Procare in order that they can provide direct care services to the patient population. This could be in the form of video consultations, Minor injuries clinics, GP extended access clinics. The Federation will be acting on behalf of the GP practice.
	Legal Basis –
	 Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of
	preventative or occupational medicine'
	Processor – Procare
Primary Care Network (PCN)	Purpose – Your medical record will be shared with the East Waverley PCN in order that they can provide direct care services to the patient population.
	Legal Basis –
	 Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and
	 Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
	Processor –Binscombe, Wonersh, The Mill, Cranleigh
Smoking cessation	Purpose – personal information is shared in order for the smoking cessation service to be provided.
	Only those patients who wish to be party to this service will have their data shared
	Legal Basis –

	• Article 6(1)(e) 'necessary for the performance of a task
	carried out in the public interest or in the exercise of
	official authority'; and
	 Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
	preventative of occupational medicine
	Processor – One Stop Surrey stop smoking service
Social Prescribers	Purpose – Access to medical records is provided to social prescribers to undertake a full service to patients dependent on their health social care needs.
	Only those patients who wish to be party to this service will have their data shared
	Legal Basis –
	 Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of
	preventative or occupational medicine'
	Processor - Procare
Police	Purpose – Personal confidential information may be shared with
	the Police authority for certain purposes. The level of sharing and
	purpose for sharing may vary. Where there is a legal basis for this information to be shared consent will not always be required.
	The Police will require the correct documentation in order to
	make a request. This could be but not limited to, DS 2, Court order, s137, the prevention and detection of a crime. Or where
	the information is necessary to protect a person or community.
	Legal Basis – UK GDPR
	 Article 6(1)(c) – to comply with a legal obligation; and Article 9(2)(j) – 'for reasons of substantial public interest'
	Processor – Police Constabulary
Coroner	Purpose – Personal health records or information relating to a
	deceased patient may be shared with the coroner.
	Legal Basis – UK GDPR:
	 Article 6(1)(c) – to comply with a legal obligation; and
	 Article 9(2)(h) – 'necessary for the purposes of
	preventative or occupational medicine'.
	Processor – The Coroner
Medical Examiner Service –	Purpose: Purpose: Medical records associated with deceased
Royal Surrey NHS Trust	patients are outside scope of the UK GDPR. However, next of kin
	details are within the scope of the UK GDPR. We will share
	specified deceased patient records and next of kin details with the
	Medical Examiners within Royal Surrey NHS Trust.

	Legal Basis: Article 6(1)(c) – necessary under a legal obligation to which the controller is subject"; and Article 9(2)(h)– "processing is necessary for the purposes of preventive or occupational medicine, for the assessment of the working capacity of the employee, medical diagnosis, the provision of health or social care or treatment or the management of health or social care systems and services"
	Processor : Medical Examiners service – Royal Surrey NHS Trust
Non-commissioned, private healthcare providers (e.g. BUPA, Virgin Care, etc.)	Purpose – Personal information shared with private health care providers in order to deliver direct care to patients at the patient's request. Consent from the patient will be required to share data with Private Providers.
	Legal Basis – Articles 6(1)(a) and 9(2)(a) consent by the patient given under contract to the provider.
Messaging Service	Provider – Virgin Care, Bupa, other insurance companies Purpose – Personal identifiable information shared with the messaging service in order that messages including; appointment reminders; results; campaign messages related to specific patients health needs; and direct messages to patients, can be transferred to the patient in a safe way.
	 Legal Basis – Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
Remote consultation Including – Video Consultation Clinical photography	Provider - AccuRX - NHSAPP,Purpose - Personal information including images may be processed, stored and with the patients consent shared, in order to provide the patient with urgent medical advice.
	 Legal Basis – Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
	Patients may be videoed or asked to provide photographs with consent. There are restrictions on what the practice can accept photographs of. No photographs of the full face, no intimate

	areas, no pictures of patients who cannot consent to the process.
	No identifiable pictures of children.
	Processor – AccuRX, LIVI
MDT meetings	Purpose – For some long term conditions, the practice participates in meetings with staff from other agencies involved in providing care, to help plan the best way to provide care to patients with these conditions. Personal data will be shared with other agencies in order that mutual care packages can be decided.
	 Legal Basis – Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
	Processor – Palliative Care, Procare, My care my way, care homes,RSCH Cedar centre
 General Practice Extraction Service (GPES) 1. At risk patients data collection Version 3 2. CVDPREVENT Audit 3. Physical Health Checks for people with Severe Mental Illness 4. National Obesity Audit 	Purpose – GP practices are required to provide data extraction of their patients personal confidential information for various purposes to NHS England. The objective of this data collection is on an ongoing basis to identify patients registered at General Practices who fit within a certain criteria, in order to monitor and either provide direct care, or prevent serious harm to those patients. Below is a list of the purposes for the data extraction, by using the link you can find out the detail behind each data extraction and how your information will be used to inform this essential work:
	1. <u>At risk patients including severely clinically vulnerable</u>
	2. <u>NHS England has directed NHS England to collect and</u> <u>analyse data in connection with Cardiovascular Disease</u> <u>Prevention Audit</u>
	3. <u>GPES Physical Health Checks for people with Severe</u> <u>Mental Illness (PHSMI) data collection</u> .
	4. <u>National Obesity Audit - NHS Digital</u>
	Legal Basis - All GP Practices in England are legally required to share data with NHS England for this purpose under section 259(1)(a) and (5) of the The Health and Social Care Act 2012
	Further detailed legal basis can be found in each link.

	Any objections to this data collection should be made directly to NHS England. <u>enquiries@nhsdigital.nhs.uk</u>
	Processor – NHS England
Medication/Prescribing	Purpose: Prescriptions containing personal identifiable and health data will be shared with organisations who provide medicines management including chemists/pharmacies, in order to provide patients with essential medication regime management, medicines and or treatment as their health needs dictate. This process is achieved either by face to face contact with the patient or electronically. Pharmacists may be employed to review medication, Patients may be referred to pharmacists to assist with diagnosis and care for minor treatment, patients may have specified a nominated pharmacy they may wish their repeat or acute prescriptions to be ordered and sent directly to the pharmacy making a more efficient process. Arrangements can also be made with the pharmacy to deliver care and medication
	 Legal Basis – Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
	Processor – Pharmacy of choice
Professional Training	 Processor – Pharmacy of choice Purpose – We are a training surgery. Our clinical team are required to be exposed to on the job, clinical experience, as well as continual professional development. On occasion you may be asked if you are happy to be seen by one of our GP registrars, pharmacists or other clinical team to assist with their training as a clinical professional. You may also be asked if you would be happy to have a consultation recorded for training purposes. These recordings will be shared and discussed with training GPs at the surgery, and also with moderators at the RCGP and HEE.
Professional Training	 Purpose – We are a training surgery. Our clinical team are required to be exposed to on the job, clinical experience, as well as continual professional development. On occasion you may be asked if you are happy to be seen by one of our GP registrars, pharmacists or other clinical team to assist with their training as a clinical professional. You may also be asked if you would be happy to have a consultation recorded for training purposes. These recordings will be shared and discussed with training GPs at the surgery, and also with moderators at the RCGP and HEE. Legal Basis – 6 1 (a) consent, patients will be asked if they wish to take part in training sessions Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
Professional Training	 Purpose – We are a training surgery. Our clinical team are required to be exposed to on the job, clinical experience, as well as continual professional development. On occasion you may be asked if you are happy to be seen by one of our GP registrars, pharmacists or other clinical team to assist with their training as a clinical professional. You may also be asked if you would be happy to have a consultation recorded for training purposes. These recordings will be shared and discussed with training GPs at the surgery, and also with moderators at the RCGP and HEE. Legal Basis – 6 1 (a) consent, patients will be asked if they wish to take part in training sessions Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of

Telephony	 Purpose – The practice use an internet based telephony system that records telephone calls, for their own purpose and to assist with patient consultations. The telephone system has been commissioned to assist with the high volume and management of calls into the surgery, which in turn will enable a better service to patients. Legal Basis – While there is a robust contract in place with the processor, the surgery has undertaken this service to assist with
	 the direct care of patients in a more efficient way. Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
	Provider – Surgery Connect – X-ON,
Learning Disability Mortality Programme LeDer	Purpose: The Learning Disability Mortality Review (LeDeR) programme was commissioned by NHS England to investigate the death of patients with learning difficulties and Autism to assist with processes to improve the standard and quality of care for people living with a learning disability and Autism. Records of deceased patients who meet with this criteria will be shared with NHS England.
	Legal Basis: It has approval from the Secretary of State under section 251 of the NHS Act 2006 to process patient identifiable information who fit within a certain criteria.
	Processor : ICB, NHS England
Shared Care Record	Purpose: In order for the practice to have access to a shared record, the Integrated Care Service has commissioned a number of systems including <u>GP connect</u> , which is managed by NHS England, to enable a shared care record, which will assist in patient information to be used for a number of care related services. These may include Population Health Management, Direct Care, and analytics to assist with planning services for the use of the local health population.
	Where data is used for secondary uses no personal identifiable data will be used.
	Where personal confidential data is used for Research explicit consent will be required.
	 Legal Basis – Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'

Local shared care record	Processor: NHS EnglandPurpose: Health and Social care services are developing shared systems to share data efficiently and quickly. It is important for anyone treating you to be able to access your shared record so that they have all the information they need to care for you. This will be during your routine appointments and in urgent situations such as going to A&E, calling 111 or going to an Out of hours appointment. It is also quicker for staff to access a shared record than to try to contact other staff by phone or email.Only authorised staff can access the systems and the information they see is carefully checked so that it relates to their job. Systems do not share all your data, just data which services
	 have agreed is necessary to include. Legal Basis – Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
	Processor: Graphnet, CSH Surrey, Phylis Tuckwell, Guildford and Waverley extended access, CSH Surrey, Procare, RSH Adult com services, Residential care home
Anticoagulation Monitoring	Purpose: Personal Confidential data is shared with LumiraDX in order to provide an anticoagulation clinic to patients who are on anticoagulation medication. This will only affect patients who are within this criteria.
	 Legal Basis – Article 6(1)(e) 'necessary for the performance of a task carried out in the public interest or in the exercise of official authority'; and Article 9(2)(h) 'necessary for the purposes of preventative or occupational medicine'
	Processor : INRStar

We keep our Privacy Notice under regular review. This notice was last reviewed in November 2023.